## STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: ONESOURCE FINANCIAL LLC, ALEX RODERICK and MARK LEBOWITZ

File No. C0600370

## **TEMPORARY ORDER OF PROHIBITION**

TO THE RESPONDENTS: ONESOURCE FINANCIAL GROUP LLC

600 Anton Blvd, 11<sup>th</sup> Floor Costa Mesa, CA 92626

**ALEX RODERICK** 

600 Anton Blvd, 11<sup>th</sup> Floor Costa Mesa, CA 92626

MARK LEBOWITZ

600 Anton Blvd, 11<sup>th</sup> Floor Costa Mesa, CA 92626

On information and belief, I, Jesse White, Secretary of State of Illinois, through my designated representative, who has been fully advised in the premises by the staff of the Securities Department, Office of the Secretary of State, herein find:

#### **BACKGROUND FACTS**

- 1. OneSource Financial Group LLC (hereinafter "OneSource" or collectively with Alex Roderick and Mark Lebowitz "Respondents") is a business entity with a last known address of 600 Anton Blvd, Costa Mesa, California 92626.
- 2. Alex Roderick (hereinafter "Roderick" or collectively with OneSource Financial Group LLC and Mark Lebowitz "Respondents") is an individual with a last known address of 600 Anton Blvd, Costa Mesa, California 92626.

- 3. Mark Lebowitz (hereinafter "Lebowitz" or collectively with OneSource Financial Group LLC and Alex Roderick "Respondents") an individual, with a last known address of 600 Anton Blvd, Costa Mesa, California 92626.
- 4. At all times relevant to this Temporary Order of Prohibition, Respondent Roderick and Respondent Lebowitz were control persons of Respondent OneSource.

#### Failure to Register Securities

- 5. Beginning as early as January 2005, Respondents solicited in or from the State of Illinois one or more persons, by means of advertisements in local newspapers, to participate in the ATM Investment Program through Respondent OneSource.
- 6. Between April 2005 and April 2006, Respondents entered into Equipment Purchase Agreements and Processing Agreements with Investors, in which:
  - a. Investors paid anywhere from \$4,000.00 to \$4,500.00 to purchase ATM machine(s);
  - b. Respondents agreed to manage and maintain the ATM machines purchased by Investors;
  - c. Respondents agreed to remit to investors a percentage of the surcharge fee Respondents charged to customers for the use of the ATM machines;
  - d. Respondents agreed to provide Investors with "proof of ownership" of ATM machines indicating the location and serial number of ATM machines purchased.
- 7. The activities described above constitute the offer and sale of an investment contract and therefore a security as those terms are defined in Sections 2.1, 2.5, and 2.5a of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act").
- 8. Section 12.A of the Illinois Securities Law of 1953, 815 ILCS 5/1 et seq., (the "Act") states that it shall be a violation of the provisions of this Act for any person to "offer or sell any security except in accordance with the provisions of this Act."
- 9. Section 5 of the Act provides, <u>inter alia</u>, that all securities except those exempt under Section 3 of the Act or those offered and sold in transactions exempt under Section 4 of the Act shall be registered with the Secretary of State prior to their offer or sale in the State of Illinois.

- 10. Section 12.D of the Illinois Securities Law of 1953, 815 ILCS 5/1 et seq., states that it shall be a violation of the provisions of the Act for any person to "fail to file with the Secretary of State any application, report or document under the provisions of this Act or any rule or regulation made by the Secretary of State pursuant to this Act or to fail to comply with the terms of any order of the Secretary of State issued pursuant to Section 11 hereof.
- 11. Respondents failed to file an application for registration of the abovereferenced securities with the Secretary of State and as a result, the securities were not registered pursuant to Section 5 of the Act prior to their offer and sale.
- 12. By virtue of the foregoing, Respondents violated Sections 12.A and 12.D of the Act.

NOW THEREFORE IT IS HEREBY ORDERED THAT: pursuant to the authority granted by Section 11.F of the Act, Respondents OneSource Financial Group LLC, Alex Roderick and Mark Lebowitz are PROHIBITED from offering or selling securities in or from this State until the further Order of the Secretary of State.

NOTICE is hereby given that Respondents may request a hearing on this matter by transmitting such request in writing to Tanya Solov, Director, Illinois Securities Department, 69 West Washington Street, Suite 1220, Chicago, Illinois 60602. Such request must be made within thirty (30) calendar days of the date of entry of the Temporary Order of Prohibition. Upon receipt of a request for hearing, a hearing will be scheduled as soon as reasonable practicable. A request for hearing will not stop the effectiveness of this Temporary Order and will extend the effectiveness of this Temporary Order for sixty days from the date the hearing request is received by the Department.

FAILURE BY ANY RESPONDENT TO REQUEST A HEARING WITHIN THIRTY (30) CALENDAR DAYS AFTER ENTRY OF THIS TEMPORARY ORDER OF PROHIBITION SHALL CONSTITUTE AN ADMISSION OF ANY FACTS ALLEGED HEREIN AND SHALL CONSTITUTE SUFFICIENT BASIS TO MAKE THIS TEMPORARY ORDER OF PROHIBITION FINAL.

Dated: This 15th day of November 2007.

JESSE WHITE
Secretary of State
State of Illinois

# Temporary Order of Prohibition

Attorney for the Secretary of State: Felicia H. Simmons-Stovall Enforcement Attorney Office of the Secretary of State
Illinois Securities Department
69 West Washington Street, Suite 1220
Chicago, Illinois 60602
Telephone: (312) 793-3384